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School of Mathematics

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**MATH315301**

Coding Theory

**Time Allowed: 2.5 hours**

You must attempt to answer 4 questions.

If you answer more than 4 questions, only your best 4 answers will be counted towards your  
final mark for this exam.

All questions carry equal marks.

1. Let  $F$  be a field. We write  $\mathcal{M}_{n,m}(F)$  for the set of  $n \times m$  matrices with entries in field  $F$ . For example

$$\begin{pmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 \end{pmatrix} \in \mathcal{M}_{3,5}(\mathbb{Z}_2)$$

Associated to each  $M \in \mathcal{M}_{n,m}(F)$  is the row space  $R(M)$  of  $M$ . This is the vector space over  $F$  spanned by the rows of  $M$  (regarded as vectors).

- What does it mean to say that a set of vectors such as the row vectors of matrix  $M \in \mathcal{M}_{n,m}(F)$  above are linearly independent?
- If  $F$  is a field of order  $q$ , what is the size of  $\mathcal{M}_{n,m}(F)$ ?
- Under what conditions is  $M \in \mathcal{M}_{n,m}(F)$  a generator matrix for a linear code; and what are the block length and dimension of the code it then generates?
- The function  $\pi_n : F^n \rightarrow F^{n-1}$  is defined by  $(x_1, x_2, \dots, x_{n-1}, x_n) \mapsto (x_1, x_2, \dots, x_{n-1})$ . We define the action of this function on a code  $C \subseteq F^n$  by restriction of the action on  $F^n$ . Similarly we may define an action of  $\pi_n$  on  $\mathcal{M}_{m,n}(F)$ , specifically  $\pi_n : \mathcal{M}_{m,n}(F) \rightarrow \mathcal{M}_{m,n-1}(F)$ , by deleting the final column of the matrix. Construct an example where  $M$  is a generator matrix and  $\pi_n(M)$  is not.
- Write down the binary linear code  $C_1$  with generator matrix

$$G_1 = \begin{pmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{pmatrix}$$

- (f) Consider the following sets:  $S_1 = \{000000, 000100, 200000\} \subset \mathbb{Z}_3^6$ ;

$$S_2 = \{0000, 0001, 1000, 1001\} \subset \mathbb{Z}_2^4$$

$$S_3 = \{00000, 01110, 01000, 00110\} \subset \mathbb{Z}_2^5$$

$$S_4 = \{0000, 0001, 1000, 1002\} \subset \mathbb{Z}_5^4$$

Determine which of these are linear codes (giving the reasons for your answers).

- Define the minimum weight  $w(C)$  for a code  $C$  over a symbol set that is a field. Prove that, for a linear code, the minimum distance  $d(C)$  is equal to  $w(C)$ .
- Define  $C^\perp$ , the dual code to a linear code  $C$ . Prove that  $C^\perp$  is also a linear code.
- A linear code is self-dual if  $C^\perp = C$ . Compute the dual of  $C_1$  in part (e) above, and hence or otherwise determine if it is self-dual.

2. (a) Explain why the following two matrices generate equivalent linear codes in  $\mathbb{Z}_7^5$

$$A = \begin{pmatrix} 1 & 1 & 0 & 6 & 4 \\ 1 & 0 & 0 & 2 & 1 \\ 0 & 0 & 1 & 6 & 5 \end{pmatrix}, \quad G'' = \begin{pmatrix} 1 & 1 & 0 & 4 & 6 \\ 1 & 0 & 0 & 1 & 2 \\ 0 & 0 & 1 & 5 & 6 \end{pmatrix}$$

- (b) Explain why the following two matrices generate the same linear code  $C \subset \mathbb{Z}_7^5$

$$G'' = \begin{pmatrix} 1 & 1 & 0 & 4 & 6 \\ 1 & 0 & 0 & 1 & 2 \\ 0 & 0 & 1 & 5 & 6 \end{pmatrix}, \quad G' = \begin{pmatrix} 0 & 1 & 0 & 3 & 4 \\ 1 & 0 & 0 & 1 & 2 \\ 0 & 0 & 1 & 5 & 6 \end{pmatrix}$$

- (c) Let  $C \subset \mathbb{Z}_7^5$  be the linear code with generator matrix

$$G' = \begin{pmatrix} 0 & 1 & 0 & 3 & 4 \\ 1 & 0 & 0 & 1 & 2 \\ 0 & 0 & 1 & 5 & 6 \end{pmatrix}$$

- i. By a suitable row permutation, bring this matrix  $G'$  into a standard form  $G$ . Hence write down a parity check matrix  $H$  for  $C$ .
  - ii. Compute the matrix  $G.H^t$  (where  $H^t$  is the transpose of  $H$ ). Interpret your result.
  - iii. What are the weights of the three row vectors of  $G'$ ? What does this tell us about the minimum distance  $d(C)$ ?
  - iv. Show that  $d(C) = 3$ .
  - v. How many of the coset leaders of  $C$  have weight 1?
  - vi. Codeword  $x$  is transmitted down a noisy channel, so that  $y = 11254$  is received, with exactly one error having occurred. What was the transmitted codeword  $x$ ?
- (d) Let  $\mathbb{Z}_4$  denote the set of integers modulo 4, together with the associated mod.4 arithmetic. Give the addition and multiplication tables for  $\mathbb{Z}_4$ . Explain why this number system of modulo 4 arithmetic does *not* form a field.
- (e) Explain a way to construct a field of order 4. Write down the addition and multiplication tables for this field.

3. Let  $\Sigma_q$  denote a set of symbols (an 'alphabet') of size  $q$ . That is,  $|\Sigma_q| = q$ . We shall assume that there is a 'zero' element  $0 \in \Sigma_q$ .

- (a) Let  $S, T$  be sets. Explain carefully what is meant by the Cartesian product  $S \times T$ .
- (b) Given a set  $S$ , a closed binary operation on  $S$  is a map  $\mu : S \times S \rightarrow S$ . Explain what it means to say that the binary operation  $\mu$  is associative.  
Give an example of a pair  $(S, \mu)$  such that  $\mu$  is associative; and another example such that  $\mu$  is not associative.
- (c) We write  $\Sigma_q^2 = \Sigma_q \times \Sigma_q$  for the Cartesian product of  $\Sigma_q$  with itself. Explain what is meant by the  $n$ -th Cartesian power of  $\Sigma_q$ , denoted  $\Sigma_q^n$ .  
Illustrate your answer by writing out all elements of  $\{0, 1\}^3$  explicitly, using a notation in which  $(a, b)$  is written as  $ab$ , and so on.  
Explain a property of 'symbols' 0 and 1 that allows the above notation to work unambiguously.
- (d) A  $q$ -ary code of length  $n$  is a subset of  $\Sigma_q^n$ . How many of these are there (as a function of  $q$  and  $n$ )?
- (e)
  - i. Define the Hamming distance  $d$  on  $\Sigma_q^n$ .
  - ii. Note that  $00\dots 0 \in \Sigma_q^n$ . How many elements of  $\Sigma_q^n$  have Hamming distance 2 or less from the element  $00\dots 0$ ? (Hint: determine how many elements have distance  $\leq 1$  from  $00\dots 0$ ; and how many have distance 2 from  $00\dots 0$ .)
  - iii. Define the minimum distance  $d(C)$  of a code  $C \subset \Sigma_q^n$ .
  - iv. Given that code  $C$  is 7 error correcting, what is the smallest that  $d(C)$  could be?
  - v. State the ball-packing bound on the size  $M$  of a  $q$ -ary  $(n, M, d)$ -code  $C$ .
- (f) For each of the following triples  $(n, M, d)$  construct, if possible, a binary  $(n, M, d)$ -code:

$$(19, 2, 19) \quad (3, 8, 1) \quad (4, 8, 2) \quad (8, 89, 3)$$

If no such code exists, then prove it, stating any theorems used.

4. The 26 letters of the alphabet may be represented in  $\mathbb{Z}_3^3$  by  $A \mapsto 001$ ,  $B \mapsto 002$ ,  $C \mapsto 010$ ,  $D \mapsto 011$ , ...,  $Z \mapsto 222$ . That is, the  $k$ -th letter of the alphabet is represented by  $abc \in \mathbb{Z}_3^3$ , where  $k = a3^2 + b3 + c$ . Let us also represent the symbol 'space' by 000.

We are given the parity check matrix

$$H = \begin{pmatrix} 1 & 0 & 1 & 2 & 0 & 1 \\ 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 \end{pmatrix}$$

of a 3-ary  $[6, 3, d]$ -code  $C$ . That is,  $w \in C$  if and only if  $Hw^t = 0$ . (As usual we write simply 0 for the zero vector, where no ambiguity can arise; and write  $w^t$  for the transpose of a vector  $w$ .)

For example  $H(100012)^t = 0$ , so  $100012 \in C$ .

- (a) Compute  $H(221000)^t$  and hence determine whether  $221000 \in C$ .  
 (b) Note that  $H$  is not in standard form. Confirm that

$$G = \begin{pmatrix} 2 & 2 & 1 & 0 & 0 & 0 \\ 1 & 2 & 0 & 1 & 0 & 0 \\ 2 & 0 & 0 & 0 & 2 & 1 \end{pmatrix}$$

is a generator matrix for  $C$ .

- (c) Recall that  $G$  may be used to encode elements  $u = (u_1, u_2, u_3)$  of  $\mathbb{Z}_3^3$  by  $u \mapsto x = uG$ . Thus it may be used to encode letters of the alphabet, via our representation above. Compute the encoded form of the letter D.  
 (d) What is  $d(C)$ ? How many coset leaders lie within distance 1 of 000000? Compute their syndromes.  
 (e) Decode as much as possible of the following received message. You may assume that the transmitted message was encoded using  $C$  with generator matrix  $G$ , and use nearest neighbour decoding. (Marks are available for partial decodings, but all working must be shown.)

Message:

200021 112000 112100 220112 022021 212012  
 000000 212012 012212 220112 000000 022021  
 212012 202100 020121 220112 111112 212012

5. (a) Find in standard form the generator matrix for a linear code  $D \subseteq \mathbb{Z}_{11}^4$  such that  $D$  is self-dual.
- (b) A block-length  $n$  binary linear code is transmitted down a binary symmetric channel with symbol error probability  $p$ . Received vectors are decoded by the coset decoding method. Let  $x$  denote the sent word, and  $y$  the received word, so that  $e = y - x$  is the transmission error vector. Then  $P(e = 000\dots 0)$  denotes the probability of a codeword being transmitted without error. What is  $P(e = 000\dots 0)$ ? Let  $S$  be a set of coset leaders in a standard array for  $C$ . Explain why the probability of a codeword being decoded correctly is

$$P_{\text{corr}}(C) = \sum_{v \in S} P(e = v)$$

For the remainder of this question, let  $C$  be the binary linear code with generator matrix

$$G = \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix}$$

- (c) Construct a standard array for  $C$ .
- (d) Decode the received message 1101 using your array.
- (e) Code  $C$  is transmitted down a binary symmetric channel with symbol error probability  $p = 0.01$ , with the received vectors being decoded by the coset decoding method, as in (a) above. What is  $P(e = 0000)$ ? Explain why the probability of a transmitted word being decoded correctly can be written as

$$P_{\text{corr}}(C) = P(e = 0000) + P(e = 1000) + P(e = 0100) + P(e = 1100)$$

(Hint: in a binary symmetric channel we have that  $P(e = 1100) = P(1010) = P(1001) = P(0110)$  and so on.)

Calculate  $P_{\text{err}}(C)$ , the word error probability of the code; and  $P_{\text{undetec}}(C)$ , the probability of there being an undetected error in a transmitted word.

- (f) Code  $C$  is again transmitted down a binary symmetric channel with symbol error probability  $p = 0.01$ , but is now used only for error detection. If an error is detected in a received vector, the receiving device requests retransmission of the codeword. Calculate  $P_{\text{retrans}}(C)$ , the probability that a single codeword transmission will result in a request to retransmit.