

### Coding Theory MATH5153 Jan 2018 Answers

Questions are SEEN or similar to seen unless otherwise stated.

General marking rubric: Mathematics is about communication, so full marks are available for attempts that communicate the answer.

1. (a)  $|\mathcal{M}_{n,m}(F)| = q^{nm}$  (2 marks)

- (b)  $M$  generator if rows linearly independent (which implies  $n \leq m$ ), and  $F$  finite.

Then  $M$  generates a  $|F|$ -ary  $[m,n]$ -code (dimension  $n$ , length  $m$  code over  $F$ ). (2 marks)

- (c) To find  $C_1$  we form all linear combinations from rows of  $G_1$ :

$$C_1 = \{00000, 10100, 01100, 11000\}$$

(2 marks)

- (d)  $S_1$  not closed under  $+$ . (Give explicit example. Any will do.) Thus not linear code.

$S_2$  is closed under linear combinations (various arguments for this are acceptable, for example: 0001 and 1000 are independent, so span a 2d space; including  $0001+1000 = 1001$ ; thus  $S_2$  identifies with the linear span of 0001 and 1000), so linear code (since field is  $\mathbb{Z}_2$ ).

(OR OTHERWISE.)

$S_3$  is closed under linear combinations (similar argument to above is ok), so linear code (since field is  $\mathbb{Z}_2$ ).

$S_4$  is not closed under  $+$ . (Give explicit example.) Thus not linear. (4 marks)

- (e) Minimum weight  $w(C) = \min\{w(x) | x \in C \setminus \{\underline{0}\}\}$  where  $w(x)$  is weight of  $x$  (define it! — number of non-zero entries), and  $\underline{0}$  denotes the zero vector.

Prove that, for a linear code, the minimum distance  $d(C)$  is equal to  $w(C)$ .

Proof: Firstly recall that  $d(x, y)$  is the number of places in which  $x, y \in C$  differ. Consider  $x, y \in C$ . Since  $C$  is linear we can form  $x - y \in C$ . Note that  $x_i, y_i$  differ iff  $x_i - y_i \neq 0$ . Thus

$$d(x, y) = d(x - y, \underline{0})$$

On the other hand  $d(z, 0) = w(z)$  for  $z \in C$ , from the definitions. Altogether we have  $d(x, y) = d(x - y, 0) = w(x - y)$

Next recall that  $d(C) = \min\{d(x, y) | x \neq y \in C\}$ . We have

$$\begin{aligned} d(C) &= \min\{d(x, y) | x \neq y \in C\} = \min\{d(x - y, 0) | x \neq y \in C\} \\ &= \min\{w(x - y) | x \neq y \in C\} \end{aligned}$$

But

$$\{w(x - y) | x \neq y \in C\} \supseteq \{w(x - 0) | x \neq 0 \in C\} = \{w(x) | x \neq 0 \in C\}$$

(And finally show inclusion the other way similarly.)

□

(5 marks)

- (f) Define  $C^\perp$ , the dual code to a linear code  $C$ .

$C \subset F^n$ ,  $C^\perp = \{v \in F^n | v \cdot x = 0 \forall x \in C\}$  where  $v \cdot x = \sum_i v_i x_i$  (over  $F$ ).

Prove that  $C^\perp$  is also a linear code:

$v \cdot x = 0$  is a linear constraint on  $\{v_i\}$  (the formal collection of coefficients forming a vector) for any given  $x$ .

(OR OTHERWISE; e.g. show linearity explicitly by showing closure.)

(5 marks)

- (g) Compute the dual of  $C_1$  above, and hence or otherwise determine if it is self-dual.

Ignoring the last two digits (which are always zero in  $C_1$ ) for now, we have

$$(x, y, z) \cdot (1, 0, 1) = x + z = 0$$

$$(x, y, z) \cdot (0, 1, 1) = y + z = 0$$

$$(x, y, z) \cdot (1, 1, 0) = x + y = 0$$

These imply  $x = y = z$ . The last two digits in the dual are not constrained, so  $C^\perp = \{000, 111\} \times \mathbb{Z}_2^2$  (in the obvious notation) (any equivalent, such as giving a PCM, is acceptable).

So  $C_1^\perp \neq C_1$ . So  $C_1$  is not self-dual. (3 marks)

(h) For  $C$  to be selfdual we need  $\dim(C)=2$  so try

$$\begin{pmatrix} 1 & 0 & a & b \\ 0 & 1 & c & d \end{pmatrix}$$

We require

$$1 + a^2 + b^2 = 0$$

$$1 + c^2 + d^2 = 0$$

$$ac + bd = 0$$

By inspection a possible solution for  $(a, b)$  is  $(1, 3)$ . The other two are then solved by  $(c, d) = (-3, 1)$ . (2 marks)

2. (a) The Cartesian product of two sets,  $A, B$ , say, is the set of ordered pairs  $(a, b)$  with  $a \in A$  and  $b \in B$ .

E.g.  $\Sigma_q^2$ : ordered 2-tuples from  $\Sigma_q$ . (1 marks)

$\Sigma_q^n$ : ordered  $n$ -tuples from  $\Sigma_q$ . (1 marks)

$\{0, 1\}^3 = \{000, \dots, 111\}$  (using  $(i, j, k) \mapsto ijk$ ). (1 marks)

- (b)  $P(\Sigma_q^n) = 2^{(q^n)}$  (also accept count excluding empty set). (2 marks)

- (c) i. Hamming distance  $d(x, y) = \#\{i | x_i \neq y_i\}$  (1 marks)

- ii. Weight 0/1: Vectors of form  $(0, 0, \dots, 0, X, 0, \dots, 0)$ . There are  $n \times (q - 1) + 1$  of these.

Weight 2: Vectors of form  $(0, 0, \dots, 0, X, 0, \dots, Y, 0, \dots, 0)$  with  $X, Y \neq 0$ . There are  $\frac{n(n-1)}{2} \times (q - 1)^2$  of these. (2 marks)

- iii. minimum distance  $d(C) = \min\{d(x, y) | x, y \in C, x \neq y\}$  (2 marks)

- iv.  $d(C) \geq 15$ . (1 marks)

- v. ball-packing bound on the size  $M$  of a  $q$ -ary  $(n, M, d)$ -code  $C$ :

$$M \sum_{r=0}^t \binom{n}{r} (q - 1)^r \leq q^n$$

where  $t$  such that  $d \geq 2t + 1$ . (2 marks)

- (d) For each of the following triples  $(n, M, d)$  construct, if possible, a binary  $(n, M, d)$ -code:

$$(X, 2, X) \quad (3, 8, 1) \quad (4, 8, 2) \quad (8, M, 3)$$

(for given values of  $X, M$ ). If no such code exists, then prove it, stating any theorems used.

ANSWER:  $(X, 2, X)$ :  $\{000000\dots 0, 111111\dots 1\}$ .

(3,8,1): {000, 001, 010, 011, 100, 101, 110, 111}

(4,8,2): {0000, 0011, 0101, 0110, 1001, 1010, 1100, 1111}

(8,M,3): fails the BP bound if:

$$M(1+8) = 9 * M \not\leq 2^8 = 256$$

so fails for  $M > 256/9$  (e.g.  $M > 28$ ). (10 marks)

(e)  $p(x \text{ transmitted}) = (1-p)^{n-d(x,w)}p^{d(x,w)}$  so  $(1-p) > p$  implies  $p(x)$  maximal when  $d(x,w)$  minimal.  $\square$  (2 marks)

(/25 marks)

3. (a) Mult. table for  $\mathbb{Z}_4$ : BOOKWORK.  
 $\mathbb{Z}_4$  fails to form a field since there are not enough multiplicative inverses. (2 marks)
- (b) Explain a way to construct a field of order 4.  
 ANSWER: Consider degree 2 polynomials over  $\mathbb{Z}_2$ . Quadratics are  $x^2 + 1$ ,  $x^2 + x + 1$ ,  $x^2$ ,  $x^2 + x$ . Only  $x^2 + x + 1$  irreducible (verify this explicitly), so extend  $\mathbb{Z}_2$  by  $x$  obeying  $x^2 + x + 1 = 0$ . (4 marks)

Write down the addition and multiplication tables for this field.

+	0	1	$x$	$1+x$	$\times$	0	1	$x$	$1+x$
0	0	1	$x$	$1+x$	0	0	0	0	0
1	1	0	$1+x$	$x$	1	0	1	$x$	$1+x$
$x$	$x$	$1+x$	0	1	$x$	0	$x$	$1+x$	1
$1+x$	$1+x$	$x$	1	0	$1+x$	0	$1+x$	1	$x$

(4 marks)

- (c) Let  $C \subset \mathbb{Z}_7^5$  be the linear code with generator matrix

$$G = \begin{pmatrix} 1 & 0 & 0 & 1 & 2 \\ 0 & 1 & 0 & 3 & 4 \\ 0 & 0 & 1 & 5 & 6 \end{pmatrix}$$

- i. Write down a parity check matrix  $H$  for  $C$ .  
 By the usual standard array manipulation (or otherwise) a PCM is:

$$H = \begin{pmatrix} -1 & -3 & -5 & 1 & 0 \\ -2 & -4 & -6 & 0 & 1 \end{pmatrix}$$

(2 marks)

- ii. Compute the matrix  $G.H^t$  (where  $H^t$  is the transpose of  $H$ ).  
 Interpret your result.  
 $GH^t = 0$  (show calculations)  
 Columns of  $H^t$  are rows of  $H$  so  $GH^t$  assembles the various inner product calculations for generators of  $C$  and  $C^\perp$ , which must all be zero by definition. (2 marks)

- iii. Show that  $d(C) = 3$ .  
 $H$  has no zero or parallel columns, so  $d(C) \geq 3$ , but  $w(G_3) = 3$  ( $G_3$  the third row of  $G$ ) so  $d(C) \leq 3$ . So  $d(C) = 3$ . (3 marks)
- iv. How many of the coset leaders of  $C$  have weight 1?  
 There are  $7^2$  coset leaders. There are  $5 \times 6 = 30$  weight 1 vectors, none of which lie in  $C$ , and no distinct pair of which have  $x - y \in C$ . So number = 30.  
 (full marks for any legitimate argument with right final answer) (3 marks)
- v. Codeword  $x$  is transmitted down a noisy channel, so that  $y = 11254$  is received, with exactly one error having occurred. What was the transmitted codeword  $x$ ?
- $$Hy^t = \begin{pmatrix} 5 \\ 0 \end{pmatrix}. \text{ Now find coset leader: } H \begin{pmatrix} 0 \\ 0 \\ 0 \\ 5 \\ 0 \end{pmatrix} = \begin{pmatrix} 5 \\ 0 \end{pmatrix} \quad (3 \text{ marks})$$
- so  $x = 11254 - 00050 = 11204$ . (2 marks)

4. (a) a standard array for  $C = \{0000, 1010, 0101, 1111\}$ :

```
0000 1010 0101 1111
1000 0010 1101 0111
0100 1110 0001 1011
1100 0110 1001 0011
```

— the first row is the ordered code,  $c_1, c_2, c_3, \dots$ , with zero-word 0000 written first; the first entry in row 2 is any weight 1 word  $w$  not in the code, then this row proceeds as  $w + c_1, w + c_2, \dots$ ; etc. (any correctly formed standard array is acceptable)

(8 marks)

- (b) Decode the received message 1101 using your array:

(IF the coset leaders are as above then)

the coset leader is 1000, so  $1101-1000=0101$  is the decoding by this array.

(3 marks)

- (c) Code  $C$  is transmitted down a binary symmetric channel with symbol error probability  $p = 0.01$ , with the received vectors being decoded by the coset decoding method. ...Calculate  $P_{err}(C)$ , the word error probability of the code; and  $P_{undetec}(C)$ , the probability of there being an undetected error in a transmitted word.

ANSWER:

$$P(e = 0000) = (1 - p)^4$$

(2 marks)

$P_{corr}(C)$  takes the given form since an error (including the null error) is corrected if it takes any of these forms, and not corrected otherwise. Thus

$$P_{corr}(C) =$$

$$P(e = 0000) + P(e = 1000) + P(e = 0100) + P(e = 1100) =$$

$$(1 - p)^4 + 2p(1 - p)^3 + p^2(1 - p)^2 = 0.9801$$

$$P_{err}(C) = 1 - P_{corr}(C) = 0.0199$$



(3 marks)

For there to be an undetected error in the transmitted word the received word would have to be in  $C$ , but in error. That means both transmitted word  $x$  and received word  $y$  are in  $C$  (and are different), so the error  $e = x - y$  is also in  $C$  (and of course is not the zero word). Thus

$$\begin{aligned} P_{undetec}(C)|_{p=0.01} &= P(e = 1010) + P(e = 0101) + P(e = 1111) \\ &= 2 \times (0.01)^2(0.99)^2 + (0.01)^4 = 0.00019603 \end{aligned}$$

(3 marks)

- (d) Code  $C$  is again transmitted down a binary symmetric channel with symbol error probability  $p = 0.01$ , but is now used only for error detection. If an error is detected in a received vector, the receiving device requests retransmission of the codeword. Calculate  $P_{retrans}(C)$ , the probability that a single codeword transmission will result in a request to retransmit.

Retrans is requested if an error is detected. Thus

$$P_{retrans} = 1 - P(\text{no error detected})$$

No error is detected if either there is no error, or there is undetected error. So plugin in we get

$$\begin{aligned} P_{retrans} &= 1 - P(\text{no error detected}) \\ &= 1 - P(\text{no error}) - P(\text{undetected error}) \\ P_{undetec} &= 2p^2(1-p)^2 + p^4 \end{aligned}$$

(2 marks)

so

$$P_{retrans}(C) = 1 - (1-p)^4 - 2p^2(1-p)^2 - p^4 = 4p + O(p^2)$$

so

$$P_{retrans}(C)|_{p=0.01} = \text{etc.} \sim 0.04$$

(2 marks)

(UNSEEN)

- (e) Give the definition of the syndrome of a received word. Prove that two words have the same syndrome iff they lie in the same coset of the code  $C$ .

Syndrome  $S(y) = yH^t$  where  $H$  is the PCM of  $C$ . (1 marks)

Proof of Lemma:  $y_1H^t = y_2H^t$  if and only if  $(y_1 - y_2)H^t = 0$  iff  $y_1 - y_2 \in C$  iff  $C + y_1 = C + y_2$ .  $\square$

(or equivalent). (1 marks)

5. (a) The ring  $R_n$  has elements representable as polynomials in  $F_q[x]$  of order up to  $n - 1$ , thus polynomials of form

$$p = \sum_{i=0}^{n-1} a_i x^i$$

The coefficients can be arranged as a vector  $(a_0, a_1, \dots, a_{n-1}) \in F_q^n$ . Thus a subset of polynomials becomes a subset of  $F_q^n$ .

(1 marks)

Check polynomial is  $h(x) = \frac{x^6-1}{g(x)}$ . By polynomial long division we get  $h(x) = x^3 + 2x^2 + 2x + 1$ .

Plugging into the formula for  $g^\perp$  from lectures (writing the reciprocal as  $x^3 h(x^{-1})$ ) we get

$$\begin{aligned} g^\perp(x) &= h(0)^{-1} x^3 h(x^{-1}) = 1 \times x^3 (x^{-3} + 2x^{-2} + 2x^{-1} + 1) \\ &= 1 + 2x + 2x^2 + x^3 \end{aligned}$$

(2 marks)

The generator matrix for  $C$  is

$$G = \begin{pmatrix} g \\ xg \\ x^2g \end{pmatrix} = \begin{pmatrix} 2 & 2 & 1 & 1 & 0 & 0 \\ 0 & 2 & 2 & 1 & 1 & 0 \\ 0 & 0 & 2 & 2 & 1 & 1 \end{pmatrix}$$

and PCM

$$H = \begin{pmatrix} g^\perp \\ xg^\perp \\ x^2g^\perp \end{pmatrix} = \begin{pmatrix} 1 & 2 & 2 & 1 & 0 & 0 \\ 0 & 1 & 2 & 2 & 1 & 0 \\ 0 & 0 & 1 & 2 & 2 & 1 \end{pmatrix}$$

(5 marks)

- (b) To show that  $C$  is cyclic consider  $w = (w_0, w_1, w_2, \dots, w_{n-1}) \in C$ . We need to show that  $w' = (w_{n-1}, w_0, w_1, w_2, \dots, w_{n-2}) \in C$ .

Note that  $C$  contains all vectors in  $C_1$  and  $C_2$ , and since it is linearly closed it contains  $C_1 + C_2$ , the set of all vectors that are linear combinations from  $C_1$  and  $C_2$ . Any such vector  $w$  is expressible in the form  $w = x + y$  where  $x \in C_1$  and  $y \in C_2$ . Consider also  $w' = a' + b' \in C_1 + C_2$  similarly.

For any  $s, t \in F_q$  we have  $sw + tw' = (sa + ta') + (sb + tb')$ . Thus  $C_1 + C_2$  is closed, so it is  $C$ .

Now consider  $w = a + b$  again. We have  $w = (a_0 + b_0, a_1 + b_1, \dots)$ . But now note that  $w' \in C$  since  $C_1$  and  $C_2$  are both cyclic.

(4 marks)

Consider  $C$  as the code generated by  $g$ . We aim to show that this is  $C_1 + C_2$ .

First we aim to show  $C \subseteq C_1 + C_2$ . By (for example) Euclid's algorithm there are polynomials  $v_1, v_2$  in  $F_q[x]$  such that

$$g = v_1g_1 + v_2g_2$$

Considering any  $u \in C$  we have a polynomial  $a \in F_q[x]/(x^n - 1)$  such that

$$u = ag = a(v_1g_1 + v_2g_2) = av_1g_1 + av_2g_2$$

But then (working mod.  $(x^n - 1)$ ) we have  $av_1g_1 \in C_1$  and similarly for  $av_2g_2$ . Thus  $u \in C_1 + C_2$ .

Next we aim to show  $C \supseteq C_1 + C_2$ . As  $g$  is the GCD we can express  $g_1 = sg$  and  $g_2 = tg$ . Let  $w \in C_1 + C_2$ . Then for some  $a, b$  we have

$$w = ag_1 + bg_2 = asg + btg = (as + bt)g \in C$$

Done.

(4 marks)

(c) PCM

$$H = \begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 2 & 3 & 4 \end{pmatrix}$$

(2 marks)

$n = 6$  – number of columns of  $H$ ;

$k = n - 2 = 4$  – since 2 is number of rows;

$d = 3$  since no zero or parallel columns, and  $4c_1 + 4c_2 + c_3 = 0$ .

(4 marks)

Generator matrix: Obvious row operations put  $H$  in standard form; then use the usual minus-transpose construction from lectures. We obtain:

$$G = \left( \begin{array}{cc|cccc} 4 & 4 & 1 & 0 & 0 & 0 \\ 3 & 4 & 0 & 1 & 0 & 0 \\ 2 & 4 & 0 & 0 & 1 & 0 \\ 1 & 4 & 0 & 0 & 0 & 1 \end{array} \right)$$

(3 marks)