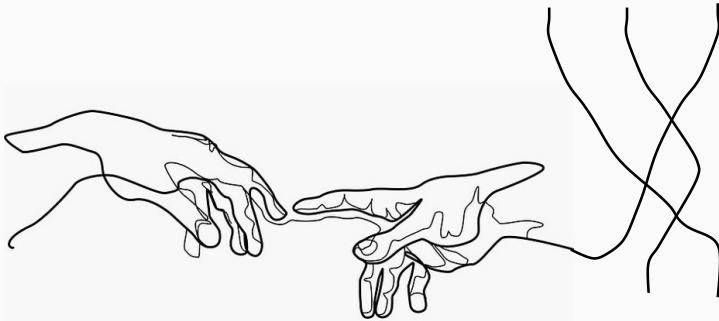


# On classification of braid representations

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1. Explain the title
2. Choose the target
  - Notations and conventions
  - Hamiltonia
3. Classification: The proof
  - $N=2$ : The edge condition
  - $N=3$ : The plaquette condition
  - Worked example
4. Is target universal?
  - Signatures: how different are formally inequivalent solutions
5. Vary source

# Collaborations and thanks

This talk is just a rambling review, from my idiosyncratic (computational statistical mechanics motivated) perspective, in which all errors and misjudgements are solely mine.

But team members to credit and acknowledge for the good stuff include:

Eric Rowell,

Fiona Torzewska, Jarmo Heitarinta,

Joao Faria Martins, Celeste Damiani,

Zhenghan Wang, Sarah Almateari

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# Overview

A representation is a structure preserving map from some object of interest to a target that is relatively well understood. Hence a map  $F : S \rightarrow T$  from a 'source'  $S$  to a 'target'  $T$  through which map the source can be studied.

## Plan of talk

1. Explain the title
2. Choose target
3. Do classification
4. Is target universal?
5. Vary source

$$F : B \rightarrow Mat$$

structure preserving map      source      target

# **1. Explain the title**

---

# Spin-chain/charge-conserving braid representations

- A *braid representation* is a monoidal functor from the braid category  $B$  (as in Mac Lane's [CWM], and see next).
- It is fairly natural to take the target category to be the category  $\text{Mat}$  of matrices over a given commutative ring (usually  $\mathbb{C}$ , for physical reasons), with Kronecker product, which category serves as a strict version of  $\text{Vect}$ .
- Classification of braid representations  $F : B \rightarrow \text{Mat}$  is a major open problem — except that it is impossibly wild.

For applications, conceding this impossibility is not an acceptable outcome. So we seek a framework for a paradigm change. Fortunately there are some recent Clues in:

Higher representation theory (Mazorchuk and many others) Kapranov-Voevodsky

Higher lattice gauge theory (Faria Martins and many others)

Loop braids, loop Hecke algebra (Damiani, Fenn, Brendle and many others)

- A rank- $N$  charge-conserving representation (or spin-chain representation) is a monoidal functor from some monoidal category to the category  $\text{Match}^N$  of rank- $N$  charge-conserving matrices (see below for definition).
- In work with Rowell, we construct all spin-chain braid representations up to isomorphism. This is target I'll focus on later.
- A paradigm change can come at a cost, so... In the end, how universal is the construction, the 'new paradigm'? In other words, is every  $F : B \rightarrow \text{Mat}$  equivalent to some  $F : B \rightarrow \text{Match}^N$ ?

# Braids







# Monoidal composition



$\otimes^2$

=



=



## **2. Choose the target**

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## Category

The braid category has object monoid the free monoid on one generator. Call this object  $1$ ; and consider the object monoid as the additive natural numbers.

Thus functors

$$F : B \rightarrow \text{Mat}$$

(or indeed to any target) can be sorted according to image  $F_0(1)$  of object  $1$ . In  $\text{Mat}$  this  $F_0(1)$  must be a natural number  $N$ . - The *Rank* of functor  $F$ .

The braid category is monoidally generated by the elementary braid  $\sigma \in B(2, 2)$ .

## Choose target

$$F : B \rightarrow \text{Mat}$$

$$\text{Rank } F(1) = N$$

	$\text{Mat}^N$
loop-braid/XXZ/6-vertex	$\rightsquigarrow \text{Match}^N$
8-vertex (Heitarinta '92)	$\text{Mat}^2$
Heitarinta	$\rightsquigarrow \text{Mat}_{\text{ACC}}^N$
	$\rightsquigarrow \text{PermMat}^N$
	$\rightsquigarrow \text{MonMat}^N$

... unitary ... quotient (e.g. fix numbers of distinct eigenvalues, a la Hecke algebra) ...

Nothing here is (obviously) canonical. Hence (addressed by) sec.4 later.

The braid category is monoidally generated by the elementary braid  $\sigma \in B(2, 2)$ . Thus a functor

$$F : B \rightarrow \text{Match}^N$$

(or indeed to any target) is *determined* by the image  $F(\sigma)$ .

The image  $F(\sigma)$  is a sparse matrix whose rows (and columns) may be indexed by ordered pairs  $(i, j)$  with  $i, j \in \underline{N} = \{1, 2, \dots, N\}$ . The non-zero blocks are  $1 \times 1$  and  $2 \times 2$ , naturally in correspondence with the vertices and edges of the complete graph respectively.

## Target category $\text{Match}^N$

Fix a commutative ring  $k$  (we take  $k = \mathbb{C}$ ).  $\text{Mat}$  is the monoidal category of matrices and aB-convention Kronecker product. note convention arbitrary so there is  $\mathbb{Z}_2$  action (monoidal functor between the two conventions)

Label rows of object  $N$  by  $\underline{N} = \{1, 2, \dots, N\}$ . note symbols suggest an arbitrary total order, so there is a  $\Sigma_N$  action

$\text{Mat}^N$  is monoidal subcategory generated by object  $N$  (which is renamed as object 1 in  $\text{Mat}^N$ ).  $\text{Mat}^N(m, n) = \text{Mat}(N^m, N^n)$

Consider  $R \in \text{Mat}^N(m, n)$  with entries

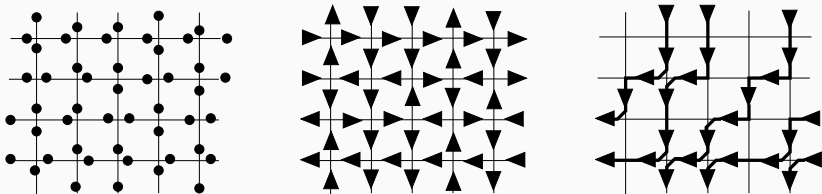
$\langle w | R | v \rangle \in k$  for  $w \in \underline{N}^m$  and  $v \in \underline{N}^n$

$R \in \text{Mat}^N(m, m)$  charge-conserving if  $\langle w | R | v \rangle \neq 0$  implies  $w$  a perm of  $v$ .

These matrices form a subcategory,  $\text{Match}^N$ .

# Where did 'charge-conservation' come from?

Ice (frozen  $H_2O$ ) model is 6-vertex model with line-conservation:



Also a model for other materials by varying the weights for the six vertex configurations.

$$\left( \begin{array}{ccc} \text{X} & & \text{X} \\ & \text{X} & \text{X} \\ & \text{X} & \text{X} \\ & & \text{X} \end{array} \right) = \begin{pmatrix} R_{11,11} & & R_{11,22} \\ & R_{12,12} & R_{12,21} & R_{12,22} \\ & R_{21,12} & R_{21,21} & R_{21,22} \\ & & & R_{22,22} \end{pmatrix}$$

Define

$$\sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad i\sigma^y = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \quad \sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

so that

$$\sigma^+ = \frac{1}{2}(\sigma^x + i\sigma^y) = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

Our convention in the Kronecker product  $A \otimes B$  is to order so that

$$\sigma^+ \otimes \sigma^- = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad \text{and} \quad \sigma^z \otimes 1_2 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$



Now fix  $L$  and define operators  $\sigma_i^x$  (and so on) on  $(\mathbb{C}^2)^{\otimes L}$  so that:

$$\sigma_i^x \sigma_{i+1}^x = 1_2 \otimes \cdots \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \otimes \cdots = 1_2 \otimes \cdots \otimes \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix} \otimes \cdots$$

$$\sigma_i^y \sigma_{i+1}^y = 1_2 \otimes \cdots \otimes \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix} \otimes \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix} \otimes \cdots = 1_2 \otimes \cdots \otimes \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix} \otimes \cdots$$

$$\sigma_i^z \sigma_{i+1}^z = 1_2 \otimes \cdots \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \otimes \cdots = 1_2 \otimes \cdots \otimes \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \otimes \cdots$$

An 8-vertex Hamiltonian:

$$H^{XYZ}(k_x, k_y, k_z) \sim \sum_i \frac{1}{2} (k_x \sigma_i^x \sigma_j^x + k_y \sigma_i^y \sigma_j^y + k_z \sigma_i^z \sigma_j^z)$$

$$u_{ij}^{XYZ}(k_x, k_y, k_z) := \frac{1}{2}(k_x \sigma_i^x \sigma_j^x + k_y \sigma_i^y \sigma_j^y + k_z \sigma_i^z \sigma_j^z)$$

$$u_i^{XYZ}(k_x, k_y, k_z) := u_{i i+1}^{XYZ}(k_x, k_y, k_z)$$

$$u_i^{XXZ} = u_i^{XYZ}\left(1, 1, -\frac{q + q^{-1}}{2}\right) = \begin{pmatrix} -\frac{\delta}{4} & 0 & 0 & 0 \\ 0 & \frac{\delta}{4} & 1 & 0 \\ 0 & 1 & \frac{\delta}{4} & 0 \\ 0 & 0 & 0 & -\frac{\delta}{4} \end{pmatrix}_i$$

where  $\delta = q + q^{-1} \in \mathbb{C}$ ;  $u_i^{XXX} = u_i^{XYZ}(1, 1, 1)$ ;

$u_i^{XY} = u_i^{XYZ}(1 + a, 1 - a, 0)$  ( $a \in \mathfrak{R}$ );

$$u_i = u_i^{XXZ} + \frac{1}{2} \frac{q + q^{-1}}{2} - \frac{1}{2} \frac{q - q^{-1}}{2} (\sigma_i^z - \sigma_{i+1}^z) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & q & 1 & 0 \\ 0 & 1 & q^{-1} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

The braid category is monoidally generated by the elementary braid  $\sigma \in B(2, 2)$ . Thus a functor

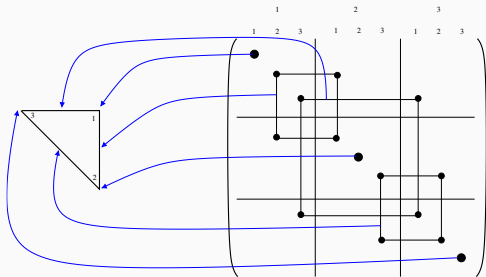
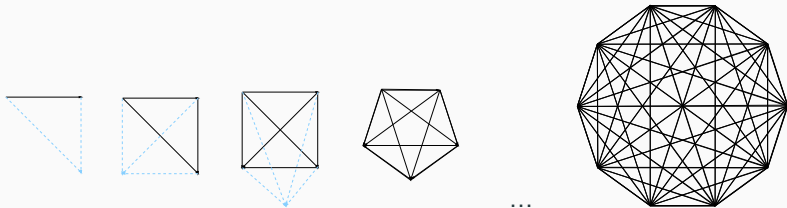
$$F : B \rightarrow \text{Match}^N$$

is determined by the image  $F(\sigma) \in \text{Match}^N(2, 2)$ .

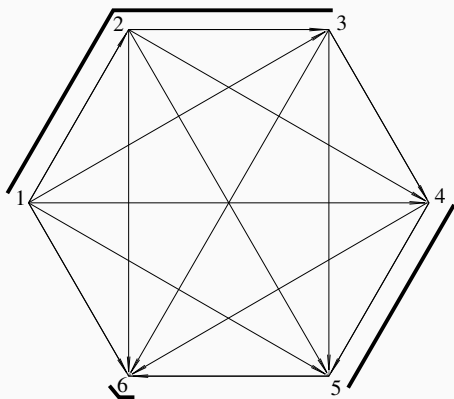
The image  $F(\sigma)$  is a sparse matrix whose rows (and columns) may be indexed by ordered pairs  $(i, j)$  with  $i, j \in \underline{N} = \{1, 2, \dots, N\}$ . The non-zero blocks are  $1 \times 1$  and  $2 \times 2$ , naturally in correspondence with the vertices and edges respectively of the complete graph  $K_N$ .

# Complete graph visualisation

Solutions can thus be visualised using  $K_N$



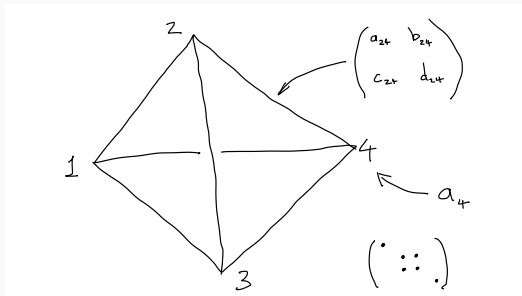
Here  $K_N$  denotes the directed graph with vertex set  $\underline{N} = \{1, 2, \dots, N\}$  and an edge  $(i, j)$  whenever  $i < j$ . Thus for example:



Here we have also indicated a partition of the vertices given by the integer partition  $\lambda = (321)$ . (for later use)

# Gauge configuration

A configuration  $\alpha$  on  $K_N$  is an assignment of a variable to each vertex and a  $2 \times 2$  matrix of variables to each directed edge.



## 3. Do classification

3.1 Warm up

3.2 State theorem

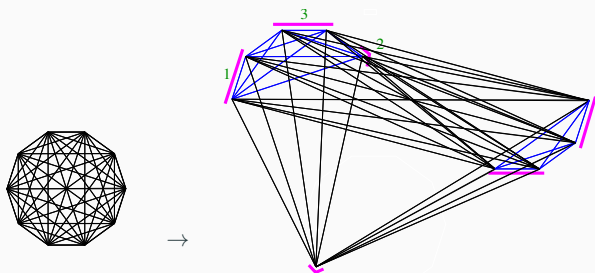
3.3 Proof (idea)

## On classification theorems

- Aside. How to read the following Theorem: An example of a classification theorem is of course Young's classification of irreducible representations of the symmetric group over  $\mathbb{C}$ . Here one says that irreps may be classified up to isomorphism by the set of integer partitions (a set that is relatively well-understood). Analogously we will need to introduce notation for some further relatively-straightforward combinatorial structures. (Our Theorem also gives a *construction*. We will introduce notation for this too.)



## Classification: first schematic



$$\mathfrak{S}_N = \{(p, q, \rho, s) \mid p < q \in P(N); \rho \in \text{Perm}(q); s \in P_2(q)\}$$

(notation next)

— rest of the talk = showing this set indexes all representation varieties.

## Classification: Notation

Next we construct two sets (for each  $N$ ). One is the set  $\mathfrak{S}_N$  to which we may apply an algorithm (given below) to construct all varieties of solutions. The other gives a transversal of this set under the  $\Sigma_N$  action (and frames the effect of the  $\mathbb{Z}_2$  action), thus addressing the classification up to isomorphism.

# Notation

We will need some notation.

# Integer partitions and compositions

- We write  $\Lambda_N$  for the set of integer partitions of  $N$ . We may write  $\lambda \vdash N$  for  $\lambda \in \Lambda_N$ .
- We write  $\Gamma_N$  for the set of compositions of  $N$ . For a composition we sometimes write  $\lambda = \lambda_1\lambda_2\dots\lambda_L$  and sometimes write  $\lambda = \lambda_1 + \lambda_2 + \dots + \lambda_L$ .  
If  $\lambda = \lambda_1\lambda_2\dots\lambda_L$  is a composition then we write  $\Gamma_\lambda$  for the set

$$\Gamma_\lambda = \times_{i=1}^L \Gamma_{\lambda_i}$$

We may write  $\mu \models \lambda$  for  $\mu \in \Gamma_\lambda$ .

Let  $\lambda = \lambda_1\lambda_2\dots\lambda_L$  be a non-empty composition. We define

$$\gamma^l(\lambda) = \lambda_1\lambda_2\dots(\lambda_L + 1)$$

and  $\gamma^r(\lambda) = \lambda_1\lambda_2\dots\lambda_L 1$ . Observe that both define injective functions from  $\Gamma_N$  to  $\Gamma_{N+1}$ , and that  $\gamma^l(\Gamma_N) \cap \gamma^r(\Gamma_N) = \emptyset$ . Thus in particular

$$|\Gamma_N| = 2^{N-1}$$

and the orders of all  $\Gamma_\lambda$ s follow.

## Set partitions

- Given a set  $S$  we will write  $P(S)$  for the set of partitions; and  $P_2(S)$  for the set of partitions into at most 2 parts. If  $N \in \mathbb{N}$  then  $P(N) = P(\underline{N})$ .
- “Children-first order”: Given a partition  $p$  of  $\{1, 2, \dots, N\}$  (or any subset thereof), we will order the parts according to their lowest numbered element; and write  $p_i$  for the  $i$ th such part.
- A refinement of partition  $p$  is a further partition of the parts of  $p$  into possibly smaller parts. We will write  $p < q$  if  $q$  is a refinement of  $p$ .
- Given a finite set  $S$  then  $Perm(S)$  is the set of total orders of the elements, i.e.  $Perm(S) = Hom^{iso}(S, \underline{|S|})$ , the set of isomorphisms.  
-should give an example in case  $S$  is  $q$ , a set partition,...

And now to reiterate:

$$\mathfrak{S}_N = \{(p, q, \rho, s) \mid p < q \in P(N); \rho \in \text{Perm}(q); s \in P_2(q)\}$$

We seek all

$$F : B \rightarrow \text{Match}^N$$

i.e.

$$F : \sigma \mapsto \text{Match}^N(2, 2)$$

Next we give

$$R : \mathfrak{S}_N \rightarrow \text{Match}^N(2, 2)$$

## Recipe for solutions from $\mathfrak{S}_N$

The recipe for constructing a variety of solutions (or rather of elements of  $\text{Match}^N(2, 2)$  that we shall prove later are all solutions) from  $(p, q, \rho, s) \in \mathfrak{S}_N$  is as follows.

We call the parts of  $p$  'nations' and order/number as above. Each edge that is between nation  $i$  and nation  $j$  is decorated with  $/\mu_{ij}$ .

We call the parts of  $q$  'counties', and each edge between vertices in the same county is decorated with 0.

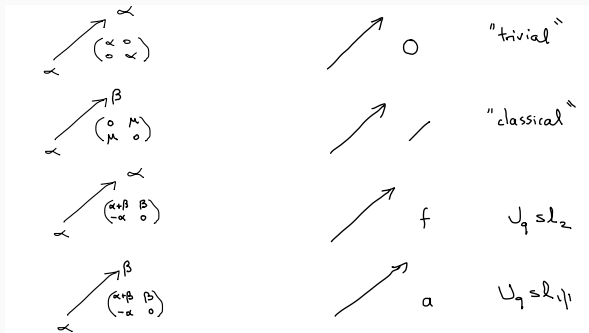
The remaining edges — between vertices in different counties in the same nation are decorated as follows. If the order on counties given by  $\rho$  places vertex  $v$  before  $w$  and also  $v < w$  in the natural order then the  $vw$  edge is +; otherwise it is -.

Next for each vertex in nation  $i$  if it is in the first part in  $s$  decorate this vertex with  $\alpha_i$ , else decorate with  $\beta_i$ .

Finally for each signed edge, if it is + (resp. -) and the end vertices are both  $\alpha_i$  then decorate with  $f_{\beta_i}$  (resp.  $\underline{f}_{\beta_i}$ ); or both  $\beta_i$  then decorate with  $f_{\alpha_i}$  (resp.  $\underline{f}_{\alpha_i}$ ); else if end vertices are different then decorate with a (resp.  $\underline{a}$ ).

See below for the matrix implications of these decorations.

# Edge symbol to matrix conversion



$$R : \mathfrak{S}_N \rightarrow \text{Match}^N(2, 2)$$



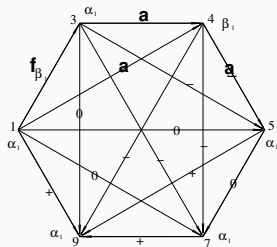
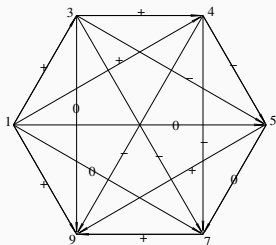
## Examples for elements $(p, q, \rho, s)$

Consider a nation of size 6 in some complete graph. Say the first nation here:

$$p = \{\{1, 3, 4, 5, 7, 9\}, \{2, 6, \dots\}, \{8, \dots\}, \dots, \{\dots\}, \dots\}$$

A  $q$  refining this starts  $q = \{\{1, 5, 7\}, \{3, 9\}, \{4\}, \dots\}$  — writing out just the counties of the first nation.

For the order  $\rho$  of these counties let us consider the written order (which happens to be the nominal children-first order). Then we have the following signs in the first nation:



Thus for example the 35 edge is — because 3 is higher in the nominal order but 5 comes first in the chosen county order.

Finally for  $s$  let us lump the first two counties together:  $s = \{\{1, 5, 7, 3, 9\}, \{4\}\}$  (restricting here only to the first nation). Then the vertex variables are as shown on the right above - where some of the  $f/a$  labels are also shown.

## Notation between integer and set partitions

- Given an integer partition, or indeed a composition,  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_m)$  of  $N$  we have a partition of  $\underline{N} = \{1, 2, \dots, N\}$  into 'nations':

$$p_\lambda(\lambda) = \{\{1, 2, \dots, \lambda_1\}, \{\lambda_1+1, \lambda_1+2, \dots, \lambda_1+\lambda_2\}, \\ \{\lambda_1+\lambda_2+1, \lambda_1+\lambda_2+2, \dots, \lambda_1+\lambda_2+\lambda_3\}, \dots, \left\{\left(\sum_{i=1}^{m-1} \lambda_i\right)+1, \dots, N\right\}$$

- Given a set partition  $p$  of a set  $S$  of order  $N$  we write  $|p|$  for the integer partition of  $N$  given by the orders of the parts of  $p$ . (NB This does not require  $S$  to be ordered. If it is, then we may also extract a *composition*  $||p||$ .)

## Notation - transversal

For the  $\Sigma_N$ -transversal we have:

$$\mathfrak{T}_N = \{(\lambda, \mu, s) \mid \lambda \vdash N, \mu \models \lambda, s \in P_2(p_\Lambda(\mu))\}$$

Here  $\mu \models \lambda$  denotes that  $\mu$  consists of a composition of each part of  $\lambda$ , with the compositions of order-tied parts written in lex order. For example  $(1 + 1 + 1, 1 + 2, 1 + 2, 2 + 1, 1) \models (3, 3, 3, 3, 1) \vdash 13$ .

The recipe for constructing an explicit element of  $\mathfrak{S}_N$  from an element of the formal transversal  $\mathfrak{T}_N$  (and hence a solution, via the above recipe) is as follows.

From  $\lambda$  we construct the set partition  $p_\Lambda(\lambda)$ . From  $\mu$  we construct the refinement  $p_\Lambda(\mu)$ . The order on the counties is simply the natural one. (Example to follow.) From  $s$  ...

For the full transversal we look at the  $\mathbb{Z}_2$ -orbits of the  $\Sigma_N$ -transversal. The  $\mathbb{Z}_2$  action breaks into “palindromes” and pairs.

# Theorem

## Theorem

*For each  $N \in \mathbb{N}$  the set  $\mathfrak{S}_N$  indexes a transversal of the set of charge-conserving braid reps up to equivalence.*

*...We will conclude the construction once we have some machinery from the  $N = 2, 3$  cases.*

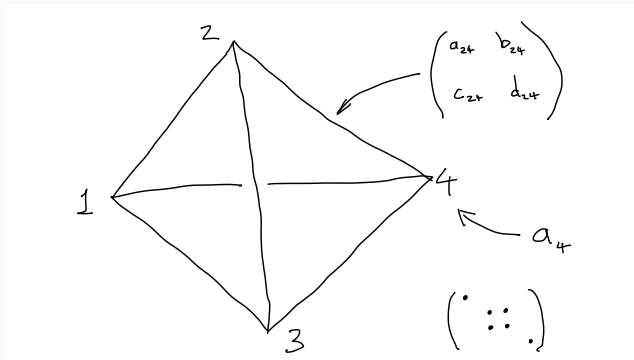
Outline of proof. We first give a Theorem formulating sufficient conditions for a solution. Then we solve these conditions in ranks 2 and 3. Then we will need a couple of Lemmas exploiting some magic in these solutions.

### **3. Classification: The proof**

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# Recall

Conventions for  $\text{Match}^N(2, 2)$ :



## Theorem

Let  $F : \mathcal{B} \rightarrow \text{Mat}^N$  be a level- $N$  charge-conserving monoidal functor, thus determined by  $F(\sigma)$ . The braid relations in the form

$$(\sigma \otimes 1)(1 \otimes \sigma)(\sigma \otimes 1) = (1 \otimes \sigma)(\sigma \otimes 1)(1 \otimes \sigma)$$

imply the following constraints on entries in the  $abcd$  form of  $F(\sigma)$ , together with the images of these constraints which are their orbits under the action of  $\Sigma_N \times \mathbb{Z}_2$ .

$$a_{12}(a_1^2 - a_{12}a_1 - b_{12}c_{12}) = 0, \quad a_{12}(a_2^2 - a_{12}a_2 - b_{12}c_{12}) = 0, \quad (3.1)$$

$$a_{12}c_{12}d_{12} = 0 = a_{12}b_{12}d_{12}, \quad a_{12}d_{12}(a_{12} - d_{12}) = 0, \quad (3.2)$$

$$c_{12}(d_{13}d_{23} - d_{12}d_{23} - a_{12}d_{13}) = 0, \quad c_{12}(-a_{13}a_{23} + a_{12}a_{23} + d_{12}a_{13}) = 0 \quad (3.3)$$

$$-a_{13}d_{23}^2 + a_{13}^2d_{23} - a_{12}b_{23}c_{23} + a_{12}b_{13}c_{13} = 0 \quad (3.4)$$

Cf. e.g. [Hietarinta].

**Proof.** Observe that the YBE can be verified in  $\text{Mat}^N(3,3)$  (since it can be formulated with three tensor factors; and the higher versions simply contain more copies of the same entries, by the tensor construction). One observes that this yields equations stretching across three indices, for example with  $N = 4$  the row of the matrix that must vanish  $F(\varsigma_1\varsigma_2\varsigma_1 - \varsigma_2\varsigma_1\varsigma_2)$  with label  $1 \otimes 2 \otimes 3$  (hereafter simply 123) is

$$\begin{aligned}
 & [0, 0, 0, 0, 0, 0, a_{13}b_{12}c_{12} - a_{13}b_{23}c_{23} - a_{12}a_{23} + a_{12}a_{23}, 0, \\
 & \quad 0, a_{12}a_{13}b_{23} - a_{13}b_{23}d_{23} - a_{12}a_{23}b_{23}, 0, 0, 0, 0, 0, 0, \\
 & 0, 0, a_{13}b_{12}d_{12} - a_{13}a_{23}b_{12} + a_{12}a_{23}b_{12}, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, \\
 & 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, \\
 & 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0]
 \end{aligned}$$

— column order is the usual lex order (so 111 112 113 114 121 122 123 124 then 131 132 ...). Observe that the non-zero entries are at matrix entries given by  $123 \rightarrow 123$ ,  $123 \rightarrow 132$ ,  $123 \rightarrow 213$ . The point we need here is (from charge conservation) that for a non-zero matrix entry the column index is at most a perm of the row index. Since the row index can contain at most three different colours (it contains three colours), the column index contains the same three. It follows that the level 3 case produces representatives of all possible orbits of constraint.  $\square$



## Lemma

Let  $F(\sigma)$  be a solution, with the submatrices  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}_{ij}$  ( $ij = 12, 13, 23, \dots$ ). Then changing these (independently) to  $\begin{pmatrix} a & xb \\ c/x & d \end{pmatrix}_{ij}$  (any invertible  $x_{ij}$ ) and hence in particular to  $\begin{pmatrix} a & cb \\ 1 & d \end{pmatrix}_{ij}$  ('lower-1 form') also gives a solution.

In general writing  $F'(\sigma)$  for the changed solution we say that  $F$  is  $X$ -related to  $F'$ . This is an equivalence relation, partitioning the set of all solutions into ' $X$ -equivalence classes'. We write  $F(\sigma) \stackrel{X}{\equiv} F'(\sigma)$  for  $X$ -equivalent solutions.

(Note that  $F'$  is a solution not only in the same varietal branch, but with the same spectrum as  $F$ .)

**Proof.** Observe that the constraint equations above are satisfied by the substitutions given that they are satisfied by  $F(\sigma)$ , because the entries  $b_{ij}, c_{ij}$ , if appearing with non-zero coefficient, appear as  $b_{ij}c_{ij}$ . The equivalence relation property follows by construction.  $\square$

## Proposition

For  $N = 2$  the following gives a complete classification of charge conserving functors  $F$  from  $B$  up to  $x$  conjugation. We use the

coefficient names given by  $F(\sigma) = \begin{pmatrix} a_1 & & & \\ & a & b & \\ & c & d & \\ & & & a_2 \end{pmatrix}$ . We have:

(0) the diagonal/'trivial' cases:  $bc = 0$  implies  $b = c = 0$  and  $a_2 = a = d = a_1 \neq 0$ .

(1) for  $bc \neq 0$ , and hence  $ad = 0$ :

(1.0) the cases  $a = d = 0$ : eigenvalues  $a_2, a_1, \pm\sqrt{bc}$

(1.1) the cases  $a \neq 0, d = 0$ : here there are two subcases,  $a_2 \neq a_1$  and  $a_2 = a_1$ :

(1.1i)  $a_2 \neq a_1$  implies  $bc = -a_2 a_1, a = a_2 + a_1$

(eigenvalues:  $a_2, a_1$ )

(1.1ii)  $a_2 = a_1$  implies  $bc$  unconstrained (nonzero)

(eigenvalues:  $a_2, -bc/a_2$ )

(1.2) the case  $d \neq 0, a = 0$  (similar to (1.1) by symmetry).

# Summary of $N = 2$

$$\begin{array}{c} \nearrow \\ \alpha \end{array} \begin{array}{c} \alpha \\ \begin{pmatrix} \alpha & 0 \\ 0 & \alpha \end{pmatrix} \end{array}$$

$$\begin{array}{c} \nearrow \\ \circ \end{array} \quad \text{"trivial"}$$

$$\begin{array}{c} \nearrow \\ \alpha \end{array} \begin{array}{c} \beta \\ \begin{pmatrix} 0 & \mu \\ \mu & 0 \end{pmatrix} \end{array}$$

$$\begin{array}{c} \nearrow \\ / \end{array} \quad \text{"classical"}$$

$$\begin{array}{c} \nearrow \\ \alpha \end{array} \begin{array}{c} \alpha \\ \begin{pmatrix} \alpha+\beta & \beta \\ -\alpha & 0 \end{pmatrix} \end{array}$$

$$\begin{array}{c} \nearrow \\ f \end{array} \quad U_q \mathfrak{sl}_2$$

$$\begin{array}{c} \nearrow \\ \alpha \end{array} \begin{array}{c} \beta \\ \begin{pmatrix} \alpha+\beta & \beta \\ -\alpha & 0 \end{pmatrix} \end{array}$$

$$\begin{array}{c} \nearrow \\ a \end{array} \quad U_q \mathfrak{sl}(1|1)$$

**proof.** Invertibility requires that  $a_2, a_1 \neq 0$  and  $ad \neq bc$ , so if  $bc = 0$  then  $ad \neq 0$ . The braid relations require

$$abd = acd = 0, \quad (a - d)ad = 0. \quad (3.5)$$

$$a(a_1(a_1 - a) - bc) = 0, \quad a(a_2(a_2 - a) - bc) = 0, \quad (3.6)$$

$$d(a_1(a_1 - d) - bc) = 0, \quad d(a_2(a_2 - d) - bc) = 0.$$

(0) If  $bc = 0$  we have  $a_2 = a = d = a_1$  by invertibility, (3.5) and (3.6).

(1) If  $bc \neq 0$  we have  $ad = 0$  by (3.5).

(1.0) If  $a = d = 0$  the conditions are immediately satisfied.

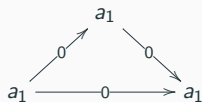
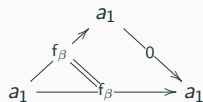
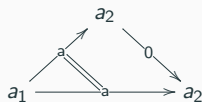
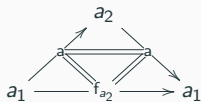
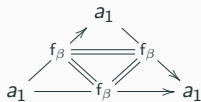
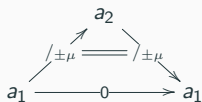
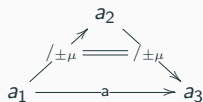
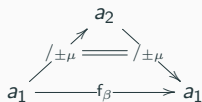
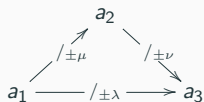
(1.1) Taking  $d = 0$  and  $a \neq 0$ , the remaining conditions are  $bc = a_2(a_2 - a) = a_1(a_1 - a)$ . Thus  $a = a_2 - bc/a_2$  and the characteristic equation for the middle block is

$(\lambda - a_2)(\lambda + bc/a_2) = 0$ . If  $a_2 \neq a_1$  then  $bc = -a_2 a_1$  and  $a = a_2 + a_1$ . In either case,  $a_2$  and  $a - a_2$  are the eigenvalues (with multiplicities 3 and 1 in (1.1ii) and 2 and 2 in (1.1i)).

(1.2) similar.

□

**Proposition.** ('9-rule') For  $N = 3$  the following triangles are allowed (showing one per  $S_3$  orbit?):



**proof.** From the  $N = 2$  result there are  $6^3$  cases to try. Various miracles occur. □

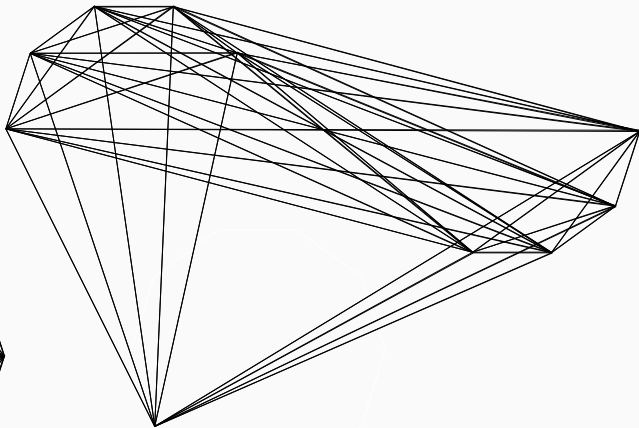
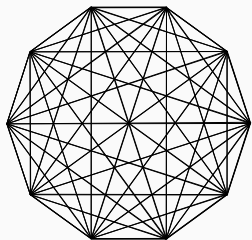
## Outline proof of Theorem

A *configuration* of  $K_N$  is an assignment of a scalar to each vertex; and matrix to each edge, from the six types  $/, 0, f, \underline{f}, a, \underline{a}$  as before. (Note that there are variables in all these components.)

An 'admissible' (or 'ground state') configuration is a configuration such that every triangle configuration is one of those nine forms given above. (Note that variables are constrained by these conditions, as well as types.)

Here we will enumerate groundstates (as varieties in the appropriate variables) in all ranks.





## Lemma

*(Rule-of-1 Lemma) An edge 2-colouring of complete graph  $K_N$ , with colour set  $\{x, y\}$ , is called 'parted' if every triangle that has two  $y$ 's has three.*

*(I) The set of parted colourings is in bijection with the set of partitions of the vertices.*

*(II) The subset of colourings where no triangle has three  $x$ 's is in bijection with the subset of partitions into two parts.*

**proof.** (I) This amounts to a rearrangement of the definition of equivalence relation, where  $y$  on edge  $\{v, v'\}$  means that  $v \sim v'$ .

(II) This gives the subset where no triple has three vertices in different parts. □

### **Lemma**

*The  $/$  data of a configuration  $F(\sigma)$  induces a partition  $p_{/}(F)$  of the vertices. Specifically, exactly the edges between vertices in different parts are  $/$  edges. Furthermore, two  $/$  edges between the same two parts carry the same variable.*

**proof.** Observe from the list of allowed triangle configurations that this data is parted with  $/$  in the role of  $x$  and everything else in the role of  $y$ . Now apply the Rule-of-1 Lemma.

The second part follows from the nature of triangles with two  $/$ s. □

The remaining (non- $/$ ) edges connect within a part. We may refer to these  $/$ -parts as 'nations'. (A specific, albeit extrinsic, reason for this name choice will be given later.)

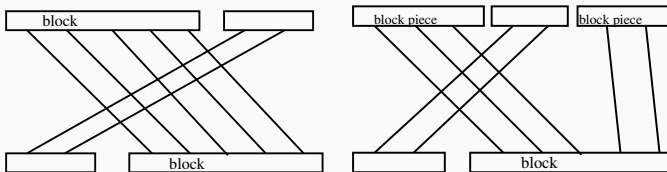
### Lemma

For every level- $N$  solution  $F(\sigma)$ , hence partition  $p_J(F)$ , there is an element in the  $\Sigma_N \times \mathbb{Z}_2$  orbit such that

$$p_J(F') = p_\wedge(|p_J(F)|)$$

specifically given by parallel-transporting each part, so that labels and orientations are preserved in the blocks.

**proof.** This is a manifestation of the relabelling symmetry, just noting that if the permutation is relatively non-crossing within each part:



then orientations are preserved. □

## Lemma

*Consider a part of the partition induced by  $/$ . Edge 0's induce an equivalence and then arrows induce a 'weak total' order (i.e. a partial order that is a total order on equivalence classes of the equivalence relation).*

**proof.** Edge 0's induce an equivalence by comparing allowed triangle configurations with the Rule-of-1 Lemma. Configurations induce a well-defined order on the quotient since triangles are never cyclic-ordered and triangles with a 0 'collapse' consistently.  $\square$

It will be convenient to refer to  $f, a$  as  $+{-}$ -oriented and  $\underline{f}, \underline{a}$  as  $--$ -oriented.

**6-rule:** if an oriented chain of two edges is signed with the same sign in  $F(\sigma)$  then the 'long' edge completing the triangle is signed with the same sign.

— To see this check the 9-rule list (??) and the orbit of +++ (??).  
(This is called 6-rule since it reduces the number of possible edge  $\pm$ -colourings of a triangle from eight to six. It also reduces the number of  $\pm 0$ -colourings.)

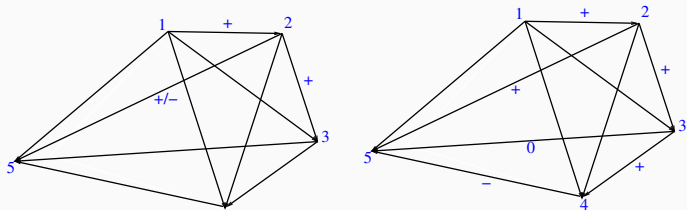
**Lemma**

*Every  $\Sigma_N \times \mathbb{Z}_2$  orbit of solutions contains an element with no  $--$ orientation.*

*Furthermore, in such a non- $--$  configuration the elements of a 0-part are clustered with respect to the natural order in their nation, i.e. they are consecutive.*

**proof.** First we note that the claim holds if it holds for 1-nation solutions, since there are perms that act non-trivially only on a single nation and the  $/$ -edges out of that nation, restricting to a complete set of perms on that nation. So now consider a single nation. We work by induction on  $N$ . The claim is true for  $N < 4$  by inspection of our explicit solution sets. Suppose true at level- $N$  and consider  $N + 1$ . WLOG by inductive assumption consider a configuration with all (signed) edges  $+$  between vertices  $1, 2, \dots, N$  (any non-signed edges are 0). Consider what configurations of

edges to vertex  $N + 1$  are allowed here. Neglecting cases with 0s on the edges to  $N + 1$  for a moment we have, say, as on the left here:



By the 6-rule, if the  $i$  to  $N + 1$  edge is  $+$  then, since the  $i - 1$  to  $i$  edge is  $+$ , the  $i - 1$  to  $N + 1$  edge is also  $+$ . Indeed this is also forced in the case where the  $i - 1$  to  $i$  edge is  $0$ . Thus there are  $N$  possible configurations of form  $++ \dots + -- \dots -$  (NB all these arrows point to  $N + 1$ ). The  $i$ -th of these configurations is taken to  $+++ \dots +$  by the perm  $(i \dots N)$ , so we are done in these cases. In case of  $0$  on a long edge  $i$  to  $N + 1$ , the edges  $j$  ( $j < i$ ) to  $i$  and  $j$  to



$N + 1$  must be the same, so these edges satisfy the no-— claim.

Thus it remains only to address the edges after the last 0. Suppose this last 0 is  $i$  to  $N + 1$ . The edges  $k$  to  $N + 1$  with  $k > i$  will all be opposite to the corresponding  $i$  to  $k$  edge (which is either  $+$  or  $0$ ), so either  $-$  or  $0$ . Now apply the perm  $(i\ N+1)$ . This has the effect of making the  $N + 1$  vertex the 'new'  $i$  vertex and incrementing  $i$  et seq. Thus all the edges that were  $k$  to  $N + 1$  are reversed, so all the  $-$ s become  $+$ , while the relative positions of all other vertices are preserved, so signs do not change — i.e. they remain non-—.

The second claim follows from the 9-rule. □

## Lemma

*Consider a part of the partition induced by  $/$ . The af data induces a partition on the parts of the part. It is a partition into (at most) two parts only because there is no aaa triangle.*

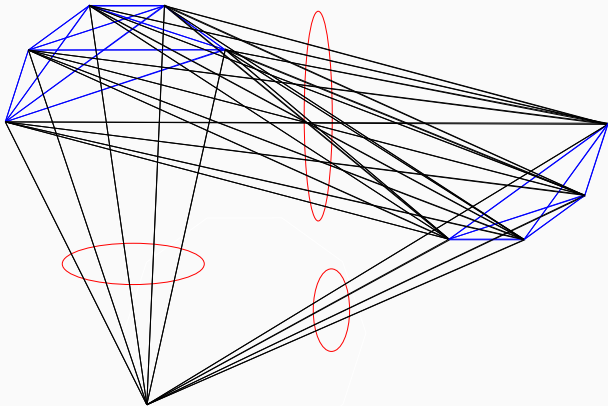
**proof.** This is just a matter of unpacking the definitions and then using the Rule-of-1 Lemma for a third (!) time, this time using part (II). □

In short then, a configuration should be organised firstly as a partition according to  $/$  (we say a partition into 'nations'). Then according to  $0$  (into 'counties'). Then ...

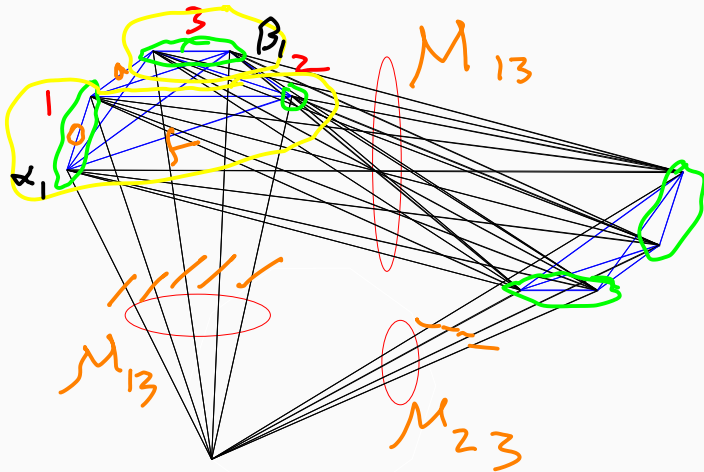
... as in our pictures above taking elements of  $\mathfrak{S}_N$  to elements of  $\text{Match}^N(2, 2)$ .

Done.

# The schematic again



# The schematic again



## Example: rank 4

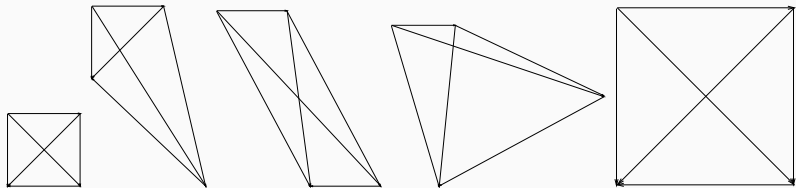
Since we may work up to the action of the symmetric group permuting the vertices, the set partitions are effectively indexed by the corresponding integer partitions. So for example in rank 4 we have nation partitions  $\lambda = 4, 31, 22, 211, 1111$  (in the obvious shorthand).

Case  $\lambda = 4$  has no /s. The possible partitions according to 0s are  $\mu = 4, 31, 22, 211, 1111$ , which give weak orders  $4, 3/1, 2/2, 2/1/1$  and  $1/2/1, 1/1/1/1$  respectively (in the obvious shorthand; note for example that  $1/3$  is in the  $\mathbb{Z}_2$ -orbit of  $3/1$  so we do not include separately).

These correspond to edge labellings:  $000000, 000+++ , 0+++++0, 0+++++$  and  $++0+++ , ++++++$ , respectively (ordering edges as  $12,13,23,14,24,34$ ).

Then the f-level partitions are 4 (trivially); 31 and 4, corresponding to  $000aaa$  and  $000fff$ ; 22 and 4, corresponding to  $0aaaa0$  and  $0ffff0$ ; 22 and 31 and 4, corresponding to  $0aaaaf$  and  $0aaffa$  and  $0fffff$ ; ...respectively.

## 'Cubist' schematics for nation partitions: rank 4



Here is an everything table for Case  $\lambda = 4$  then 31 then 22 then 211 then 1111:

$0 - pt$	4	31	22	211		1111
<i>weak</i>	4	3/1	2/2	2/1/1	1/2/1	1/1/1/1
0+	000000	000+++	0++++0	0+++++	++0+++	+++++
$f - pt$	4	31 4	22 4	22 31 4	22 31 4	22 31 4
<i>fa</i>	000000	000aaa 000fff	0aaaa0 0ffff0	0aaaaf 0aaffa 0fffff		faaaaf fffaaa ffffff

$0 - pt$	3 1	21 1	111 1
<i>weak</i>	3 1	2/1 1	1/1/1 1
0+	000///	0+++///	+++///
$f - pt$	3 1	21 1 3 1	21 1 3 1
<i>fa</i>	000///	0aa/// 0ff///	aa/// fff///

$0 - pt$	2 2	11 2	11 11	2 1 1	11 1 1	1 1 1 1
<i>weak</i>	2 2	1/1 2	1/1 1/1	2 1 1	1/1 1 1	1 1 1 1
0+	0/// 0	+/// 0	+/// +	0/////	+/////	/////
$f - pt$	2 2	11 2 2 2	11 11 2 11 2 2	2 1 1	11 1 1 2 1 1	1 1 1 1
<i>fa</i>	0/// 0	a/// 0 f/// 0	a/// a f/// a f/// f	0/////	a/////	/////

## 4. Is target universal?

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## Is target universal?

(This section is mainly presented live in the talk. These slides reflect only the loose framework.)

Given the problem of classifying maps from a source  $B$  to a target  $C$  ( $C$ -representations of  $B$ ), what does it mean for a target  $C'$  to be 'universal'? It could mean: Target  $C'$  is universal in  $C$  if, up to suitable notions of equivalence, every representation of the form  $F : B \rightarrow C$  is equivalent to one  $F' : B \rightarrow C'$ .

(So in our case we would be asking: Is every representation of the form  $F : B \rightarrow \text{Mat}$  equivalent to one in our classification? (And here  $C'$  is a collection of targets.))

Or universality could mean something more holistic, such as:  
Is every irreducible rep of every source end-algebra in every representation  $F : B \rightarrow \text{Mat}$  represented up to isomorphism (now in the usual sense) by an irrep in a CC representation?  
(In some sense this is the right question *physically*, since it means that every eigenvalue of every Hamiltonian in the general setting already appears as an eigenvalue in the CC setting.)

There are two key questions here:

1. what is the suitable notion of universality/equivalence?
2. the stated question itself.

For now it is convenient to answer illustratively using the 'Hecke paradigm'.

## Hecke paradigm

For now it is convenient to answer illustratively using the Hecke paradigm. This allows us to discuss both questions.

The Hecke paradigm is simply the part of  $B$  where we also impose that the braid generator has exactly two distinct eigenvalues. Our classification yields, almost immediately, a classification for this case. One simply has to determine which of our solutions have two eigenvalues. (For this see the next subsection. But for now we will simply address the question top-down.)

So now in this paradigm we can ask: what are suitable notions of universality/equivalence?

...

## Notions of universality/equivalence

For example, let us consider the notion of universality suggested above. Then:

**Theorem.** CC is universal for Hecke.

Outline proof. It is proved in [Martin92] that there is a faithful CC rep of the Hecke algebra  $H_n$  for any given  $n$  for sufficiently large  $N$ . It follows in particular that every irrep arises as a factor.

Indeed it is also proved ...

...

## Then signatures for all.

Viewed top-down, we now have some interesting questions to address about old and new paradigms for equivalence in representation theory.

Staying fairly close to traditional paradigms, we can consider 'signatures'.

So, what is a *signature* again? It is roughly the eigenvalue spectrum of the solution matrix for each solution type. Since the actual eigenvalues come from setting indeterminates from the formal solutions, what we consider here is the degeneracies (or generic degeneracies) rather than the values. So, ...

**For  $N = 3$ :**

$000 \rightarrow 9$  (i.e. degeneracy 9, all eigenvalues same)

$0aa \rightarrow 63$

$0ff \rightarrow 72$

$afa \rightarrow 54$

$fff \rightarrow 63$  (NB ... Jordan form)

$0// \rightarrow 4221$

$f// \rightarrow 32211$

$a// \rightarrow 22221$

$/// \rightarrow 1^9$

For  $N = 4$ :

000000  $\rightarrow$  16

000aaa  $\rightarrow$  12 4

000fff  $\rightarrow$  13 3

0aaaa0  $\rightarrow$  12 4 (NB ...)

ffffff  $\rightarrow$  10 6

...

////////  $\rightarrow 1^{16}$



## 5. Vary source

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## Prospects

At this point a number of possibilities for developments, applications and generalisations arise.

Just a few examples: In this framework it is natural to consider extension to representations of loop braids, and thence to motion groupoids and TQFTs. Generalisation of the target category.

Extensions to systems with tetrahedron constraints as well as vertex, edge and triangle. Treatment of classification-set combinatorics (related to combinatorics of social hierarchies). Higher(/lower!) representation theory. ...

Happy birthday Hubert!



A very small subset of references follow.